

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  LIST OF DOCUMENTS CITED BY APPLICANT  (Use several sheets if necessary)	Attorney Docket Number : 5051-471  <i># 1/2 Cont</i>	Serial No.: To Be Assigned
	Applicant: Conkling et al.	
	Filing Date: Concurrently herewith	Group Unknown

 31017 U.S. PTO  
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08/28/01

## U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<i>ARK</i>	1	5,231,020	07/27/93	Jorgensen et al.	435	172.3	—
	2	5,260,205	11/09/93	Nakatani et al.	435	193	—
	3	5,283,184	02/01/94	Jorgensen et al.	435	172.3	—
	4	5,369,023	11/29/94	Nakatani et al.	435	193	—
	5	5,668,295	09/16/97	Wahab et al.	800	205	—
	6	5,684,241	11/04/97	Nakatani et al.	800	205	—
	7	5,834,236	11/10/98	Lamb et al.	435	69.1	—
	8	5,843,720	12/01/98	Tangney et al.	435	69.1	—
	9	6,060,310	05/09/00	Cho-Chung	435	375	—
	10	6,077,992	06/20/00	Yadav	800	278	—
<i>ARK</i>	11	6,262,033	07/17/01	Morishita et al.	514	44	—

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes   No
<i>ARK</i>	12	WO 93/05646	12/17/98	PCT	C12N	15/54	—   —
	13	WO 94/28142	12/08/94	PCT	C12N	15/54	—   —
<i>ARK</i>	14	WO 98/56923	04/01/93	PCT	A01H	5/00	—   —

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>ARK</i>	15	Adam et al. (1995) Transcription of tobacco phytochrome-A genes initiates at multiple start sites and requires multiple <i>cis</i> -acting regulatory elements. <i>Plant Mol. Biol.</i> <b>29</b> (5):983-993.
	16	Aparicio et al. (2001) Recognition of <i>cis</i> -acting sequences in RNA 3 of <i>Prunus necrotic ringspot virus</i> by the replicase of <i>Alfalfa mosaic virus</i> . <i>J. Gen. Virol.</i> <b>82</b> (Pt 4):947-951.
	17	Borisjuk et al. (2000) Tobacco ribosomal DNA spacer element stimulates amplification and expression of heterologous genes. <i>Nat. Biotechnol.</i> <b>18</b> (12):1303-1306.
<i>ARK</i>	18	Bustos et al. (1989) Regulation of $\beta$ -glucuronidase expression in transgenic tobacco plants by an A/T-rich, <i>cis</i> -acting sequence found upstream of a French bean $\beta$ -phaseolin gene. <i>Plant Cell</i> <b>1</b> (9):839-853.

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7/24/03

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
19	Clusel et al. (1995) Inhibition of HSV-1 proliferation by decoy phosphodiester oligonucleotides containing ICP4 recognition sequences. <i>Gene Expr.</i> 4(6):301-309.		
20	Ehsan et al. (2001) Long-term stabilization of vein graft wall architecture and prolonged resistance to experimental atherosclerosis after E2F decoy oligonucleotide gene therapy. <i>J. Thorac. Cardiovasc. Surg.</i> 121(4):714-722.		
21	Geffers et al. (2000) Anaerobiosis-specific interaction of tobacco nuclear factors with <i>cis</i> -regulatory sequences in the maize <i>GapC4</i> promoter. <i>Plant Mol. Biol.</i> 43(1):11-21.		
22	Hamill et al. (1990) Over-expressing a yeast ornithine decarboxylase gene in transgenic roots of <i>Nicotiana rustica</i> can lead to enhance nicotine accumulation. <i>Plant Mol. Biol.</i> 15(1):27-38.		
23	Johnson et al. (2001) Regulation of DNA binding and <i>trans</i> -activation by a xenobiotic stress-activated plant transcription factor. <i>J. Biol. Chem.</i> 276(1):172-178.		
24	Konopka (2000) Rev-binding aptamer and CMV promoter act as decoys to inhibit HIV replication. <i>Gene</i> 255(2):235-244.		
25	Morishita et al. (1995) A gene therapy strategy using a transcription factor decoy of the E2F binding site inhibits smooth muscle proliferation in vivo. <i>Proc. Natl. Acad. Sci. USA</i> 92(13):5855-5859.		
26	Sharma et al. (1996) Transcription factor decoy approach to decipher the role of NF- $\kappa$ B in oncogenesis. <i>Anticancer Res.</i> 16(1):61-19.		
27	Siebertz et al. (1989) <i>cis</i> -Analysis of the wound-inducible promoter <i>wun1</i> in transgenic tobacco plants and histochemical localization of its expression. <i>Plant Cell</i> 1(10):961-968.		
28	Wadgaonkar et al. (1999) CREB-binding protein is a nuclear integrator of nuclear factor- $\kappa$ B and p53 signaling. <i>J. Biol. Chem.</i> 274(4):1879-1882.		
29	Wang et al. (1992) Characterization of <i>cis</i> -acting elements regulating transcription from the promoter of a constitutively active rice actin gene. <i>Mol. Cell Biol.</i> 12(8):3399-3406.		
30	Yamamoto et al. (1991) Characterization of <i>cis</i> -acting sequences regulating root-specific gene expression in tobacco. <i>Plant Cell</i> 3(4):371-382.		

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